PATENT APPLICATION DOCKET NO.: 2814.2007-001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Andy H. Levine and John C. Meade

Application No.:

10/810,317

Group Art Unit: 1615

Filed:

March 26, 2004

Examiner: Unknown

Confirmation No.: 8007

Commination No.. 6

Mail Stop Amendment Commissioner for Patents

Title: ENZYME SLEEVE

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

| | ox 1450 dria, VA 22313-1450 | | | | | |
|---------|--|--|--|--|--|--|
| Sir: | | | | | | |
| This Ir | nformation Disclosure Statement is submitted: under 37 CFR 1.129(a), or (First/Second submission after Final Rejection) | | | | | |
| [X] | under 37 CFR 1.97(b), or (Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination). | | | | | |
| [] | under 37 CFR 1.97(c) together with either: | | | | | |
| | [] a Statement under 37 CFR 1.97(e), as checked below, or | | | | | |
| | $[\] a $180.00 fee \ under \ 37\ CFR\ 1.17(p), or$ (After the $37\ CFR\ 1.97(b)$ time period, but before final action or notice of allowance, whichever occurs first) | | | | | |
| [] | under 37 CFR 1.97(d) together with: | | | | | |
| | [] a Statement under 37 CFR 1.97(e), as checked below, and | | | | | |
| | $[\] a \$180.00 \text{ fee under 37 CFR 1.17(p), or} \\ \text{(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)}$ | | | | | |
| r 1 | under 37 CFR 1 97(i): | | | | | |

Applicant requests that the IDS and cited reference(s) be placed in the application file.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.S./

| Staten | nent Und | ler 37 C | CFR 1.97(e) | | | | |
|--------|---|--|---|--|--|--|--|
| [] | any co | Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or | | | | | |
| [] | No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. | | | | | | |
| Staten | nent Und | ler 37 C | (Patent Term Adjustment) Applies to original applications (other than design) filed on or after May 29, 2000 | | | | |
| [] | Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement. | | | | | | |
| C 3Z 1 | F 1 | | with is form PTO-1449: | | | | |
| [X] | Enclos | ea nere | with is form PTO-1449: | | | | |
| | [X] | [] Copies of the cited references, AL8 and AM8, are enclosed. | | | | | |
| | | [X] | Copies of issued U.S. patents and published U.S. applications are not required and are not being provided. | | | | |
| | [] | Copies of the cited references are enclosed except those entered in prior application, U Application No. [], to which priority under 35 U.S.C. 120 is claimed. [The earli application contains copies of the cited references.] | | | | | |
| | [X] | The listed references AC14, AE14, AF14, AG14, AL8 and AM8, were cited in the enclosed International Search Reports in counterpart foreign applications. | | | | | |
| | [X] | The "c under i | oncise explanation" requirement (non-English references) for reference, AL8, 37 CFR 1.98(a)(3) is satisfied by: | | | | |
| | | [] | the explanation provided on the attached sheet. | | | | |
| | | [] | the explanation provided in the Specification. | | | | |
| | | [X] | submission of the enclosed International Search Report. | | | | |
| | | [] | submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action. | | | | |

the enclosed English language abstract.

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| [] Applicant requests that the following non-published pending applications be considered: (Affix a label or apply the stamp "Non-Published IDS Reference - Do Not Scan" to the front of each unpublished pending appl 'n.) | | | | | | | | |
|---|--|-------------------------------------|--------------------------------|--------------------|--|--|--|--|
| Examiner's Initials | | • | | | | | | |
| muais | | U.S. Patent Application No. [|], by [inventor(s)], filed [|], Docket No.: [] | | | | |
| | | U.S. Patent Application No. [|], by [inventor(s)], filed [|], Docket No.: [] | | | | |
| | | U.S. Patent Application No. [|], Docket No.: [] | | | | | |
| | | Examiner | Date | - | | | | |
| | [] A copy of each above-cited application, including the current claims, is enclosed, except any application filed on or after June 30, 2003, which has been scanned into the PTO's Image File Wrapper (IFW) system and is available to the examiner. | | | | | | | |
| | [] A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed. | | | | | | | |
| The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication. | | | | | | | | |
| It is re | quested | I that the information disclosed he | erein be made of record in the | his application. | | | | |
| Metho | d of pa | vment: | | | | | | |
| [] | | | | | | | | |
| [] | Please charge Deposit Account 08-0380 in the amount of \$[]. A copy of this Statement is enclosed. | | | | | | | |
| [X] | Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380. | | | | | | | |
| Respectfully submitted, | | | | | | | | |
| HAMILTON, BROOK, SMITH & REYNOLDS, P.C. | | | | | | | | |
| By Sumedha Bahri Registration No.: 57,427 Telephone: (978) 341-0036 Facsimile: (978) 341-0136 | | | | | | | | |

Concord, MA 01742-9133 Dated: 02/20/

03/27/66

| PTO-1449 REPRODUCED | | | | ATTORNEY DOCKET NO. 2814.2007-001 | | | APPLICATION NO. 10/810,317 | | | | |
|--|-------------------------|--|--|--------------------------------------|--------------------------------|--|-------------------------------|-----------------------|--|---------------|--|
| SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION March 24, 2006 | | | | FIRST NAME Andy H. | ED INVENTOR Levine | • | FILING DATE 3/26/2004 | | | | |
| March 24, 2006 MAR 8 1 2006 (Lag several sheets if necessary) | | | | | | | CONFIRMATION NO. 8007 | | | GROUP 1615 | |
| 19 | THE STATE OF | **/ | | | | | <u> </u> | | | | |
| . ` | . U.S. PATENT DOCUMENTS | | | | | | | | | | |
| EXAM- INER INI- TIAL | REF. NO. | DOCUMENT NUMBER Number-Kind Code (if known) | ISSUE DATE / PUBLICATION DATE MM-DD-YYYY | | | NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT | | | | | |
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| | | DOCUMENT NUMBER Country Code-Number-Kind Code (if known) | мм | DATE -DD-YYYY | NAME OF PATENTE OF CITED DO | E OR AI | PPLICANT | TRANSLATION YES NO | | | |
| /S.S | ./ AL8 | DE 33 26 061 A1 | 02- | -02-1984 | Wörner, Otto | | | | | х | |
| /S.S. | / AM8 | WO 2004/049982 A2 | 06- | -17-2004 | GI Dynamics, Inc. | | | | | | |
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.S./

DATE CONSIDERED

04/16/2008

EXAMINER